

Bodie, Kane, Marcus, Perrakis and Ryan, Chapter 3

Answers to Selected Problems

1. FBN Inc. has just sold 100,000 shares in an initial public offering. The underwriter's explicit fees were \$70,000. The offering price for the shares was \$50, but immediately upon issue the share price jumped to \$53.

a. What is your best guess as to the total cost to FBN of the equity issue?

Answer: FBN not only paid \$70,000 but shares seemed to have been underpriced by \$3 and thus the overall cost to FBN is

$$\$70,000 + \$3 \times 100,000 = \$370,000.$$

b. Is the entire cost of the underwriting a source of profit to the underwriters?

Answer: No. The \$3 per share due to underpricing is not a source of profit for the underwriter.

2. Suppose that you sell short 100 shares of Alcan, now selling at \$70 per share.

a. What is your possible maximum loss?

Answer: There is no limit to the maximum possible loss as the price of the underlying asset may rise to infinity.

b. What happens to the maximum loss if you simultaneously place a stop-buy order at \$78?

Answer: Then the maximum possible loss is \$8 per share, which means \$800.

Bodie Kane Problem Answers

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